

MOLD-TEK
Technologies Limited

25th June, 2021

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| To, The Manager, Department of Corporate Services, Bombay Stock Exchange Ltd., P.J. Towers, Dalal Street, Mumbai-400001 Scrip Code: 526263 | To, The General Manager, National Stock Exchange India Ltd., Exchange Plaza, Bandra Kurla Complex, Bandra East, Mumbai-400051 Ref: MOLDTECH |
|--|---|

Dear Sir,

Sub: Submission of Annual Secretarial Compliance Report of the Company for the Financial Year ended 31st March, 2021:

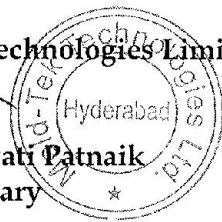
Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular (CIR/CFD/CMD1/27/2019) dated February 8th, 2019, please find enclosed herewith the Annual Secretarial Compliance Report of the Company for the financial year ended on 31st March, 2021 issued by Mr. Ashish Kumar Gaggar, Practicing Company Secretary.

This is for your information and records.

Thanking you.

For Mold-Tek Technologies Limited

M. C. Patnaik
Patnaik
Manipatruni Swati Patnaik
Company Secretary



Encl: As below

Corporate Office :

Plot # 700, Road No. 36, Jubilee Hills, Hyderabad - 500 033, Telangana, INDIA.

Phone : +91-40-40300300/01/02/03/04, Fax : +91-40-40300328, E-mail : ir@moldtekindia.com

Website : www.moldtekindia.com CIN No: L25200TG1985PLC005631

Secretarial Compliance Report of “**MOLD-TEK TECHNOLOGIES LIMITED**”
For the year ended 31st March 2021

I, Ashish Kumar Gaggar have examined:

- (a) all the documents and records made available to me and explanation provided by “**MOLD-TEK TECHNOLOGIES LIMITED**”(“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, Circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - ***Not Applicable to the listed entity during the review period***
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; - ***Not Applicable to the listed entity during the review period***
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - ***Not Applicable to the listed entity during the review period***
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; - ***Not Applicable to the listed entity during the review period***
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder ,except in respect of matters specified below:-

| Sr.No | Regulation Name/SEBI Circular Name | Regulation Number/ circular dated | Compliance Requirement (Regulations/ circulars/ guidelines including specific clause) | Deviations | Observations Details |
|-------|------------------------------------|-----------------------------------|---|------------|----------------------|
| 1. | | | . | | |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder

| Sr. | Action taken by | Details of violation | Details of action taken e.g. fines, warning letter, debarment, etc. | Observations/ remarks of the Practicing Company Secretary, if any |
|----------------|-----------------|----------------------|---|---|
| Not Applicable | | | | |

The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. | Regulation Name/SEBI Circular Number | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 2020 | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|-----|---|---|---|--|---|
| 1 | Regulation 24(1) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 | At least one independent director on the board of directors of the equity listed company shall be a director on the board of directors of an unlisted material subsidiary, incorporated in India or not | Mold-Tek Technologies Inc., USA is a material subsidiary of the company and no independent director on the board of directors of the company was appointed as director on the board of directors of M/s Mold-Tek Technologies Inc., USA | The company has appointed Mr. Venkata Appa Rao Kotagiri on the board of Mold-Tek Technologies Inc. (unlisted material subsidiary of Mold-Tek Technologies Limited) on 25 th June, 2020. | The company has complied with the Regulation 24(1) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, by appointing Mr. Venkata Appa Rao Kotagiri on the board of Mold-Tek Technologies Inc. (unlisted material subsidiary of Mold-Tek Technologies Limited) on 25 th June, 2020. |

ASHISH KUMAR GAGGAR
 Digitally signed by ASHISH KUMAR GAGGAR
 Date: 2021.06.25 16:01:49 +05'30'

Ashish Kumar Gaggur
 Company Secretary in Practice
 FCS: 6687
 CP No: 7321

Date: 25th June 2021
 Place: Hyderabad
 UDIN: F006687C000515613
 PR: 707/2020